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			APPLICANT Lloyd, et al			¢ĝy (₽ 0
	PTO-1449		FILING DATE 7/25/02 GROUP 2661			echnology Center 260	7 20
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. Department of Commerce

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ns required to respond to a collection of information unless it contains a valid OMB control number. Under the paperwork Reduction Act of 1995, no co Complete if Known Substitute for form 1449A/PTO **Application No.** 10/070,515 **INFORMATION DISCLOSURE Filing Date** 07/25/2002 STATEMENT BY APPLICANT **First Named Inventor** Lloyd (Use as many sheets as necessary) 2661 Art Unit Olms **Examiner** Name Sheet Of 1 **Attorney Docket No.** 24717-717 1

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·		Document No.			
Examiner`s Initials	Cite No. ¹	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FOREIGI	N PATENT DO	CUMENTS	
		Foreign Patent Document			
Examiner's Initials	Cite No.1	Country Code ² – Number ⁴ – Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applica of Cited Document	nt Pages, Cohmns, Lines, Where T ⁴ Relevant Passages or Relevant Figures Appear
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EXAMINER SIGNATURI		/Chuong Ho/		DATE CONSIDERED	01/01/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional) 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documenta, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

MAR Т 20115 FORM PTO-1440 (Modified) U.S. Department of Conin Patent and Trademark Off FURE STATEMENT BY APPLICANT eral Sheets If Necessary) INFORMA (37 CFR § 1.98(b))

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		CANT	Applicants: Michael J. Lloyd et al.							
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FO	REIGN PATENTS OR	PUBLISHED FO	REIGN PATENT APPLI	CATIONS						
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СН	AK	WO 99/14931	03/25/99	PCT	H04M	7/00		х
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. ,	ORMATIO	ON DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)	Applicants: Michael J. Lloyd et al.	
(37 CFR § 1.9		(Use Several Sneets II Necessary)	Filing Date: July 25, 2002	Group Art Unit: 2661
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Electronic Version v18

Stylesheet Version v18.0

Title of Invention	1	HOD AND APPAR ATION OF NETW	ATUS FOR ASSESSMENT AND ORK TRAFFIC		
Application Nurr Confirmation Nu		10/070515 6824			
First Named Ap	plicant:	Michael Lloyd			
Attorney Docket	Number:				
Art Unit:					
Examiner:					
Search string:		(6434606 or 6438592	or 6452950 or 6463454).pn		

<u>Certification</u>: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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СН	2	6438592	2002-08-20	Killian	B1		
CH	3	6452950	2002-09-17	Ohlsson et al.	B1		
CH	4	6463454	2002-10-08	Lumelsky et al.	B1		

Signature

	Examiner Name	Date
/	Chuong Ho/	01/01/2007

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Examiner Initials		Serial / Patent Number	Issue Date	Applic	ant / Patentee	Class	Subclass	Filing	g Date
СН	AA	6,829,221 B1	12/07/2004	Win	ckles et al.	370	238	12/27	/1999
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of Invention	METHOD AND APPARATUS FOR ASSESSMENT AND OPTIMIZATION OF NETWORK TRAFFIC						
Application Num Confirmation Nu First Named App Attorney Docket Art Unit:	mber: 6824 blicant: Michael						
Examiner:				·			
Search string:	or 4748658 or 4949248 or 5371532 or 5442749 or 5519435	or 4788721 or 4839798 or 5142570 or 5172413 or 5375070 or 5406502 or 5452294 or 5471622 or 5521591 or 5528281	or 4594704 or 4669113 or 4920432 or 4931941 or 5253341 or 5287537 or 5410343 or 5414455 or 5475615 or 5477536 or 5535195 or 5563875 or 5754639 or 5793976	or 4939726 or 4949187 or 5291554 or 5341477 or 5442389 or 5442390 or 5508732 or 5515511 or 5629930 or 5631897			

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Signature

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

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Stylesheet Version v18.0

Title of Invention	1	THOD AND APPAF ZATION OF NETW	ATUS FOR ASSESSMENT AND ORK TRAFFIC
Application Num Confirmation Nu First Named Ap Attorney Docket	umber: plicant:	10/070515 6824 Michael Lloyd	
Art Unit:	riumber.		
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Search string:		or 6069889 or 6108703 or 6282562 or 6286045 or 6608841 or 6611872	or 5845091 or 5974457 or 6006264 or 6009081 or 6026411 or 6111881 or 6119235 or 6130890 or 6167052 or 6173324 or 6339595 or 6341309 or 6415323 or 6526056 or 6601098 or 6625648 or 6631419 or 6633640 or 6714549 or 6748426 (311 or 20020038331 or 20020124100 or 20030016770).pn

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Title of InventionMETHOD AND APPARATUS FOR THE ASSESSMENT AND OPTIMIZATION OF NETWORK TRAFFIC						
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<u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

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(37 CFR § 1.98	(A)	ON DISCLOSURE STAT (Use Several Sheets If N TRADE	ecessary)		Filing Date: 07/25/200)2	Group Art Uni	t: 2616	
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UNITED STATES PATENT AND TRADEMARK OFFICE ACKNOWLEDGEMENT RECEIPT

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Title of Invention	METHOD AND API	ETHOD AND APPARATUS FOR ASSESSMENT AND OPTIMIZATION OF NETWORK TRAFFIC				
Submission Type:	Information Dis	closure Sta	•			
Application Number:	10/070515		*10/070515	*		
EFS ID:	80750			_		
Server Response:	Confirmation Code		Message			
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	ICON1	6824				
	USPTOEFSNotice		nce with e-filing a patent application, contact the Patent Electronic Business Center: umber:1(866) 217-9197 Website: http://www.uspto.gov/ebc/			
First Named Applicant:	Michael Lloyd	<u> </u>				
Attorney Docket Number	r:					
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 Title of Invention	METHOD AND APPARATUS FOR ASSESSMENT AND OPTIMIZATION OF NETWORK TRAFFIC	ATION OF	
 Application Number: 10/070515	r: 10/070515 *10/070515*		
 Date:	2002-07-25		
First Named Applicant:	First Named Applicant: Michael J. Lloyd		

Confirmation Number: 6824 Attorney Docket Number: I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

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Submitted by:	Thomas B. Haverstock Registered Number: 32571	

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Electronic Version 1.1

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Title of Invention	METHOD AND API	METHOD AND APPARATUS FOR ASSESSMENT AND OPTIMIZATION OF NETWORK TRAFFIC				
Submission Type:	Information Dis	closure Sta	atement			
Application Number:	10/070515			*10/070515*		
EFS ID:	80748					
Server Response:	Confirmation Code		Message			
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	USPTOEFSNotice	81	ce with e-filing a patent application, contact the Patent El umber:1(866) 217-9197 Website: http://www.uspto.gov/			
First Named Applicant:	Michael Lloyd	<u> </u>				
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Electronic Version v18 Stylesheet Version v18.0

Title of Invention	METHOD AND APPARATUS FOR ASSESSMENT AND OF NETWORK TRAFFIC	OPTIMIZATION
Application Number:	10/070515	*10/070515
Confirmation Number	: 6824	
First Named Applican	I: Michael Lloyd	
Attorney Docket Num	ber:	
Search string:	(4284852 or 4345116 or 4495570 or 4594704 or 4669113 or	
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	4920432 ar 4931941 or 4939726 ar 4949187 or 4949248 ar	
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	5754639 or 5793976 or 5802106).pn.	

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

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